Docket No.

245539US2DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Seiichi MORI, et al.

SERIAL NO: NEW DIVISIONAL APPLICATION

FILED:

HEREWITH

FOR:

SEMICONDUCTOR DEVICE HAVING TWO-LAYERED CHARGE STORAGE ELECTRODE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wishes to make of record the references listed on the attached PTO-1449 form. A copy f
the Korean Office Action with English translation, Japanese Laid Open H11-261038 with English abstract
and Japanese Laid Open H10-335497 with English abstract are attached hereto. Copies of the other listed
references were made of record in parent application serial no. 09/984.599, filed October 30, 2001, as were
either statements of relevancy or any readily available English translations of pertinent portions of any non-
English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s)
is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in a communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MARK & NEUSTADT, P.C.

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT				ATTY DOCKET NO. 245539US2DIV		SERIAL NO. NEW DIVISIONAL APPLICATION		
			APPLICANT					
LIST OF REFERENCES CITED BY APPLICANT				Seiichi MORI, et al.				
				FILING DATE		GROUP		
				HEREWITH				
-		<u> </u>		U.S. PATENT DOCUMENTS		,		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
-	AA	6,391,722	05/21/2002	кон				
	AB	6,171,909	01/09/2001	DING ET AL				
	AC	6,153,494	11/28/2000	HSIEH ET AL				
	AD	6,153,472	11/28/2000	DING ET AL				
	ΑE	6,342,715	01/2002	SHIMIZU ET AL				
	AF	6,310,374	10/2001	SATOH ET AL				
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		DOCUMENT NUMBER	DATE	COUNTRY		YES	TRANSLATION NO	
	AO	11-87543	03/30/1999	JAPAN (w/ENGLISH ABSTRACT)			X	
	AP	H11-261038	09/24/1999	JAPAN (w/ENGLISH ABSTRACT)			Х	
	AQ	H10-335497	12/18/1998	JAPAN (w/ENGLISH ABSTRACT)	*		Х	
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		OTHER I	REFERENCES (Including Author, Title, Date, Pertine	nt Pages, e	tc.)		
	AW	Korean Office Action		·				
	AX							
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	AZ				Addi	tional Refe	rences sheet(s) attached	
Examiner		****			Date Cor	Date Considered		
*Examiner: In conformance	itial if r	eference is considered to considered. Include	d, whether or not copy of this form	citation is in conformance with MPEP (a with next communication to applicant.	609; Draw lir	ne through	citation if not in	

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